

# Attachment A

## **Pass-Through Entity Requirements**

State Agency SD Department of Environment and Natural Resources (SD DENR)  
Program Name Air Quality Program  
CFDA # 66.605  
Name of Entity Receiving Funds Rapid City Air Quality Program  
Contract / Agreement Number \_\_\_\_\_  
Funding Source(s) Performance Partnership Agreement Grant  
CFR # 200.331

### **§200.331 Requirements for Pass-Through Entities**

(1) Federal Award Identification:

(i) Subrecipient name (which must match the name associated with its unique entity identifier or Duns #): City of Rapid City

(ii) Subrecipient's unique entity identifier or Duns #: 057222119

(iii) Federal Award Identification Number (FAIN): 99860112

(iv) Federal Award Date (see §200.39 Federal award date) of award to the recipient by the Federal agency: 9/29/2017

(v) Subaward Period of Performance Start and End Date: 10/1/2017 to 9/30/2018

(vi) Amount of Federal Funds Obligated by this action by the pass-through entity to the subrecipient: \$ 60,000

(vii) Total Amount of Federal Funds Obligated to the subrecipient by the pass-through entity including the current obligation: \$ 60,000

(viii) Total Amount of the Federal Award committed to the subrecipient by the pass-through entity: \$ 60,000

(ix) Federal award project description, as required to be responsive to the Federal Funding Accountability and Transparency Act (FFATA): Local Air Quality Program for the Rapid City Area

(x) Name of Federal awarding agency, pass-through entity, and contact information for awarding official of the Pass-through entity: EPA Region 8, SD DENR, Jon Epp, Phone number (605)394-5313, E-mail address: Jon.Epp@state.sd.us

(xi) CFDA Number and Name; the pass-through entity must identify the dollar amount made available under each Federal award and the CFDA number at time of disbursement: \_\_\_\_\_ NA \_\_\_\_\_

(xii) Identification of whether the award is R&D: \_\_\_\_\_ No \_\_\_\_\_

(xiii) Indirect cost rate for the Federal award (including if the de minimis rate is charged per §200.414 Indirect (F&A) costs): \_\_\_\_\_ NA \_\_\_\_\_

For items below enter requirements or list specific section of grant agreement that includes these requirements.

(2) All requirements imposed by the pass-through entity on the subrecipient so that the Federal award is used in accordance with Federal statutes, regulations and the terms and conditions of the Federal award:

\_\_\_\_\_ III. General provisions, 11. \_\_\_\_\_  
\_\_\_\_\_

(3) Any additional requirements that the pass-through entity imposes on the subrecipient in order for the pass-through entity to meet its own responsibility to the Federal awarding agency including identification of any required financial and performance reports: \_\_\_\_\_ I. The City \_\_\_\_\_  
\_\_\_\_\_

(4) An approved federally recognized indirect cost rate negotiated between the subrecipient and the Federal Government or, if no such rate exists, either a rate negotiated between the pass-through entity and the subrecipient (in compliance with this part), or a de minimis indirect cost rate as defined in §200.414 Indirect (F&A) costs, paragraph (f): \_\_\_\_\_ NA \_\_\_\_\_  
\_\_\_\_\_

(5) A requirement that the subrecipient permit the pass-through entity and auditors to have access to the subrecipient's records and financial statements as necessary for the pass-through entity to meet the requirements of this part: \_\_\_\_\_ I. The City, 4. Budget \_\_\_\_\_  
\_\_\_\_\_

(6) Appropriate terms and conditions concerning closeout of the subaward: \_\_\_\_\_ III. General, provisions 2. and 4. \_\_\_\_\_  
\_\_\_\_\_

**§200.501 Audit requirements**

A non-federal entity that expends \$750,000 or more of Federal awards during the fiscal year is subject to the audit requirements of 2 CFR 200.501 \_\_\_\_\_

State Agency Review / Approval \_\_\_\_\_  
(Enter name of person approving) (Date)

Comments: \_\_\_\_\_  
\_\_\_\_\_

# Attachment B

## **State of South Dakota Grant Recipient or Subrecipient Attestation**

By completing this form, you, the recipient or subrecipient, attest to meeting the following requirements per SDCL 1-56-10:

- (1) A conflict of interest policy is enforced within the recipient's or subrecipient's organization;
- (2) The Internal Revenue Service Form 990 has been filed, if applicable, in compliance with federal law, and is displayed immediately after filing on the recipient's or subrecipient's website;
- (3) An effective internal control system is employed by the recipient's or subrecipient's organization; and
- (4) If applicable, the recipient or subrecipient is in compliance with the federal Single Audit Act, in compliance with § 4-11-2.1, and audits are displayed on the recipient's or subrecipient's website.

**If you, the recipient or subrecipient, have questions or concerns regarding the requirements listed above, please contact your state agency representative before signing this form.**

Printed Name of Person Completing Form: \_\_\_\_\_

Printed Title of Person Completing Form: \_\_\_\_\_

Signature of Person Completing Form: \_\_\_\_\_ Date: \_\_\_\_\_