## Sanitary/Storm Sewer Facilities Funding Application

**Clean Water State Revolving Fund Program (CWSRF)**  
**Consolidated Water Facilities Construction Program (CWFCP)**

<table>
<thead>
<tr>
<th>Applicant:</th>
<th>Proposed Funding Package</th>
</tr>
</thead>
<tbody>
<tr>
<td>City of Rapid City</td>
<td>Requested Funding $2,650,000</td>
</tr>
<tr>
<td>Address:</td>
<td>Local Cash $600,000</td>
</tr>
<tr>
<td>300 6th Street</td>
<td>Other: Local ARPA Funds $2,650,000</td>
</tr>
<tr>
<td>Rapid City, SD 57701</td>
<td>Other:</td>
</tr>
<tr>
<td>Subapplicant:</td>
<td>Other:</td>
</tr>
<tr>
<td>DUNS Number:</td>
<td>Other:</td>
</tr>
</tbody>
</table>

**TOTAL $5,900,000**

**Project Title:** Miscellaneous Water/Wastewater Facility Type and Fencing Improvement

**Description:**

The City of Rapid City Miscellaneous Water/Wastewater Facility Type and Fencing Improvements Project will include improvements at the Water Reclamation Facility (WRF), the Robbinsdale Water Booster Station, and at various Water Storage Facilities. The City will be using local ARPA funds already awarded to the City to pay for a portion of the project. The Water Reclamation Division wishes to apply for the matching grant dollars to assist in funding the project.

This grant application is for the portion of the improvements related to the wastewater system and will include: (1) replacing process, mechanical, and electrical equipment as well as architectural/structural items that are outdated, have already failed, or have become unreliable in several locations at the WRF, and (2) relocating the entrance access gates, construction of new chain-link fence from existing fencing to the new gate locations, new motorized lift gates with controls to provide for secure entrance and exit at the WRF.

A facility plan has been prepared for this application, which describes in detail each component of the Water Reclamation Facility to be improved and the associated costs.

- Monthly Residential Sewer Rate (3/4 meter) = $36.55 based on a usage of 5000 gallons.
- Monthly Commercial Sewer Rate (3/4 meter) = $36.97 based on a usage of 5000 gallons.

**The Applicant Certifies That:**

I declare and affirm under the penalties of perjury that this application has been examined by me and, to the best of my knowledge and belief, is in all things true and correct.

Steve Allender, Mayor  
**Name & Title of Authorized Signatory**

<table>
<thead>
<tr>
<th>Signature</th>
<th>Date</th>
</tr>
</thead>
</table>

(Typed)
Professional Consultants

Application Prepared By: Black Hills Council of Local Governments
Contact Person: Jennifer Sietsema
Mailing Address: 730 E Watertown Street
City, State, and Zip: Rapid City, SD 57701
Telephone Number: 605-394-2681; ext 1225 Fax: __________________________
Email address: JSietsema@wrbsc.com

Consulting Engineering Firm: HDR Engineering, Inc
Contact Person: Kevin Newman
Mailing Address: 101 S. Phillips Avenue, Suite 401
City, State, and Zip: Sioux Falls, SD 57104
Telephone Number: (605) 977-7760 Fax: __________________________
Email address: kevin.newman@hdrinc.com

Legal Counsel's Firm: City of Rapid City
Contact Person: Carla Cushman, Assistant City Attorney
Mailing Address: City of Rapid City, Attn: Carla Cushman, 300 6th Street.
City, State, and Zip: Rapid City, South Dakota 57701
Telephone Number: (605) 394-4140 Fax: (605) 394-6633
Email address: carla.cushman@rcgov.org

Bond Counsel's Firm: Dorsey & Whitney, LLP
Contact Person: Jennifer Hanson
Mailing Address: 50 South Sixth St, Suite 1500
City, State, and Zip: Minneapolis, MN 55402
Telephone Number: (612) 492-6959 Fax: (612) 677-3616
Email address: Hanson.Jennifer@dorsey.com
### D-R-A-F-T  
**Budget Sheet**

<table>
<thead>
<tr>
<th>Cost Classification</th>
<th>A CWSRF/CWFCP</th>
<th>B Local ARPA Funds</th>
<th>C Local Cash</th>
<th>D</th>
<th>E</th>
<th>Total Funds</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Administrative Expenses</td>
<td></td>
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<tr>
<td>A. Personal Services</td>
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<td></td>
<td></td>
<td>$0</td>
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<tr>
<td>B. Travel</td>
<td></td>
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<td>$0</td>
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<tr>
<td>C. Legal &amp; Bond Counsel</td>
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<td>$0</td>
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<tr>
<td>D. Other</td>
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<td>$0</td>
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<tr>
<td>2. Land, Structure, Right-of-Way</td>
<td></td>
<td></td>
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<tr>
<td>3. Engineering</td>
<td></td>
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<tr>
<td>A. Bidding and Design Fees</td>
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<tr>
<td>B. Project Inspection Fees</td>
<td></td>
<td>$500,000</td>
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<td>$500,000</td>
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<tr>
<td>C. Other</td>
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<td>$100,000</td>
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<td>$100,000</td>
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<tr>
<td>4. Construction &amp; Improvements</td>
<td>$1,528,000</td>
<td>$1,528,000</td>
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<td></td>
<td></td>
<td>$3,056,000</td>
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<tr>
<td>5. Equipment</td>
<td>$510,000</td>
<td>$510,000</td>
<td></td>
<td></td>
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<td>$1,020,000</td>
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<td>6. Contractual Services</td>
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<td>8. Other</td>
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<td>9. Subtotal (Lines 1-8)</td>
<td>$2,038,000</td>
<td>$2,038,000</td>
<td>$600,000</td>
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<td>$4,676,000</td>
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<td>10. Contingencies</td>
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<td>$1,224,000</td>
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<td>11. Total (Lines 9 and 10)</td>
<td>$2,650,000</td>
<td>$2,650,000</td>
<td>$600,000</td>
<td></td>
<td></td>
<td>$5,900,000</td>
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<tr>
<td>12. Total %</td>
<td>44.92%</td>
<td>44.92%</td>
<td>10.17%</td>
<td>0.00%</td>
<td>0.00%</td>
<td>100.00%</td>
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</table>
# Proposed Method of Financing

<table>
<thead>
<tr>
<th>Source Description</th>
<th>Secured Funds</th>
<th>Unsecured Funds</th>
<th>Date Unsecured Funds Anticipated</th>
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</thead>
<tbody>
<tr>
<td>Local Cash (Identify Source) Existing ARPA Funds</td>
<td>$2,650,000</td>
<td></td>
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<tr>
<td>Other (Explain) Matching DANR ARPA Funds</td>
<td></td>
<td>$2,650,000</td>
<td>June 01, 2022</td>
</tr>
<tr>
<td>Other (Explain) Local Cash</td>
<td>$600,000</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other (Explain)</td>
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<td></td>
<td></td>
</tr>
</tbody>
</table>

| Total                                      | $3,250,000    | $2,650,000      | $5,900,000                      |

### Other Funds to be Borrowed

<table>
<thead>
<tr>
<th>Source</th>
<th>Amount</th>
<th>Rate</th>
<th>Term</th>
<th>Annual Debt Service</th>
<th>Security or Collateral Pledged</th>
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<tbody>
<tr>
<td>Other</td>
<td></td>
<td></td>
<td></td>
<td></td>
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</tr>
<tr>
<td>Other</td>
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</tr>
<tr>
<td>Other</td>
<td></td>
<td></td>
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</tr>
</tbody>
</table>

Please attach copies of commitment letters that contain specific terms and conditions for each source of financing.
General Information

The month and day your fiscal year begins: December 31

Population Served

|-------|-----------------|--------------|--------------|

Top three employers within 30 miles

<table>
<thead>
<tr>
<th>Company</th>
<th>Number of Employees</th>
<th>Type of Business</th>
</tr>
</thead>
<tbody>
<tr>
<td>Monument Health Services LLC</td>
<td>1619</td>
<td>Healthcare</td>
</tr>
<tr>
<td>USAF - Ellsworth AFB</td>
<td>1222</td>
<td>Government</td>
</tr>
<tr>
<td>Black Hills Copr</td>
<td>746</td>
<td>Utility</td>
</tr>
</tbody>
</table>

Repayment Information

Interest rate you are applying for: 0 Term: 0

What security is being pledged toward the repayment of this loan?
(Political Subdivisions Only)

- [ ] 1. General Obligation Bond (Requires Bond Election)
- [ ] 2. Revenue Bond
- [ ] 3. Project Surcharge Revenue Bond
- [ ] 4. Sales Tax Revenue Bond

Documents That Must Be Submitted With The Application

Financial Documents

1. Most recent audited or unaudited financial statements to include specific accounting for the wastewater fund.
2. Current year's budget for the wastewater fund.
3. Amortization schedules for all existing debt secured by proposed revenue pledged.

Planning and Legal Documents

1. Current governing user charge ordinance or resolution and its effective date.
2. Resolution of authorized signatory for submission of the Sanitary/Storm Sewer Facilities Funding application and signing of payment requests. This resolution must also include the maximum amount requested and description of proposed project.
3. Documentation that the applicant has an active registration on the Federal System for Award Management (SAM) database. (https://www.sam.gov)
5. Cultural Resources Effects Assessment Summary.
Items 6-8 apply to Non-profit Entities only


7. Articles of Incorporation.

8. Certificate of Good Standing from Secretary of State.

### Wastewater Fund Debt Information

<table>
<thead>
<tr>
<th>Year</th>
<th>2011</th>
<th>2021</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Purpose</strong></td>
<td>Wastewater Refunding Bond</td>
<td>Loan No. 6 SRF 2011</td>
</tr>
<tr>
<td><strong>Security Pledged</strong></td>
<td>Wastewater Fund Revenue</td>
<td>Wastewater Fund Revenue</td>
</tr>
<tr>
<td><strong>Amount</strong></td>
<td>$10,605,000</td>
<td>$5,000,000</td>
</tr>
<tr>
<td><strong>Maturity Date</strong></td>
<td>Oct/2022</td>
<td>Dec/2031</td>
</tr>
<tr>
<td><strong>Debt Holder</strong></td>
<td>U.S. Bank</td>
<td>U.S. Bank</td>
</tr>
<tr>
<td><strong>Debt Coverage Requirement</strong></td>
<td>100%</td>
<td>100%</td>
</tr>
<tr>
<td><strong>Avg. Annual Required Payment</strong></td>
<td>$1,035,322</td>
<td>$338,333</td>
</tr>
<tr>
<td><strong>Outstanding Balance</strong></td>
<td>$2,805,000</td>
<td>$3,159,927</td>
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</tbody>
</table>

Comments:
# Wastewater Fund Cash Flow Information

<table>
<thead>
<tr>
<th>Negative cash should be in (Decrease) format</th>
<th>Prior Year</th>
<th>Prior Year</th>
<th>Current Year</th>
<th>Future Year</th>
<th>Future Year</th>
<th>Future Year</th>
</tr>
</thead>
<tbody>
<tr>
<td>Fiscal Year</td>
<td>2019</td>
<td>2020</td>
<td>2021</td>
<td>2022</td>
<td>2023</td>
<td>2024</td>
</tr>
<tr>
<td><strong>Operating Revenue</strong></td>
<td></td>
<td></td>
<td></td>
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<td></td>
</tr>
<tr>
<td>Base Fees</td>
<td>$11,964,140</td>
<td>$12,484,581</td>
<td>$12,603,929</td>
<td>$13,921,261</td>
<td>$14,200,000</td>
<td>$14,484,000</td>
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<tr>
<td>Surcharge Fees</td>
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<td></td>
<td></td>
</tr>
<tr>
<td>Other (Explain)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Operating Expenses</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Personal Services</td>
<td>($2,176,979)</td>
<td>($2,176,268)</td>
<td>($2,241,556)</td>
<td>($2,308,803)</td>
<td>($2,378,067)</td>
<td>($2,449,409)</td>
</tr>
<tr>
<td>Chemical, Material &amp; Supplies</td>
<td>($60,463)</td>
<td>($68,978)</td>
<td>($71,047)</td>
<td>($73,179)</td>
<td>($75,374)</td>
<td>($77,635)</td>
</tr>
<tr>
<td>Electric &amp; Other Utilities</td>
<td>($1,779,144)</td>
<td>($2,031,492)</td>
<td>($2,092,437)</td>
<td>($2,155,210)</td>
<td>($2,219,866)</td>
<td>($2,286,462)</td>
</tr>
<tr>
<td>Other (Explain)</td>
<td>($834,807)</td>
<td>($725,419)</td>
<td>($747,182)</td>
<td>($769,597)</td>
<td>($792,685)</td>
<td>($816,465)</td>
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<tr>
<td><strong>Operating Net Cash</strong></td>
<td>$7,112,747</td>
<td>$7,482,424</td>
<td>$7,451,707</td>
<td>$8,614,472</td>
<td>$8,734,008</td>
<td>$8,854,029</td>
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<tr>
<td><strong>Nonoperating Cash Flow</strong></td>
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<td></td>
<td></td>
<td></td>
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</tr>
<tr>
<td>Interest Revenue</td>
<td>$153,426</td>
<td>$292,541</td>
<td>$298,392</td>
<td>$304,360</td>
<td>$310,447</td>
<td>$316,656</td>
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<tr>
<td>Transfers In (Explain)</td>
<td>$983,777</td>
<td>$10,908</td>
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<td></td>
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<tr>
<td>Fixed Asset Purchases</td>
<td>($3,340,842)</td>
<td>($3,549,203)</td>
<td>($3,500,000)</td>
<td>($3,605,000)</td>
<td>($3,710,000)</td>
<td>($3,820,000)</td>
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<td>Transfers Out (Explain)</td>
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<td>($58,112)</td>
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<tr>
<td>Principal Debt Payments</td>
<td>($1,180,878)</td>
<td>($1,149,037)</td>
<td>($1,326,289)</td>
<td>($2,453,761)</td>
<td>($606,460)</td>
<td>($624,393)</td>
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<tr>
<td>Interest Debt Payments</td>
<td>($259,810)</td>
<td>($158,002)</td>
<td>($261,412)</td>
<td>($316,305)</td>
<td>($238,523)</td>
<td>($220,241)</td>
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<tr>
<td>Other (Explain)</td>
<td>$195,112</td>
<td>$299,279</td>
<td>$308,000</td>
<td>$317,000</td>
<td>$327,000</td>
<td>$337,000</td>
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<tr>
<td><strong>Nonoperating Net Cash</strong></td>
<td>($3,449,215)</td>
<td>($4,311,626)</td>
<td>($4,481,309)</td>
<td>($5,753,706)</td>
<td>($3,917,536)</td>
<td>($4,010,978)</td>
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<tr>
<td>Increase (Decrease) Cash</td>
<td>$3,663,532</td>
<td>$3,170,798</td>
<td>$2,970,398</td>
<td>$2,860,766</td>
<td>$4,816,472</td>
<td>$4,843,051</td>
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<tr>
<td>Beginning Cash Balance</td>
<td>$129,496,701</td>
<td>$133,160,233</td>
<td>$136,331,031</td>
<td>$139,301,429</td>
<td>$142,162,195</td>
<td>$146,978,667</td>
</tr>
<tr>
<td>Ending Cash Balance</td>
<td>$133,160,233</td>
<td>$136,331,031</td>
<td>$139,301,429</td>
<td>$142,162,195</td>
<td>$146,978,667</td>
<td>$151,821,718</td>
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<td>Restricted Balance</td>
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<tr>
<td>Unrestricted Balance</td>
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</tr>
</tbody>
</table>

**Additional Comments (Explanations):**

Unaudited 2021 Financial Report will be available in May 2022, Audited by October 2022
Data Shows 2019-2022 Audited Financial Reports
Operating Expenses Other - Depreciation of Capital Assets
Transfers In & Out - 2020 Interfund Transfers - See Note 8 Financial Report.
NonOperCashFlow Other - Taxes & Contributions by Developers/Other
Restricted Funds Breakdown:

<table>
<thead>
<tr>
<th>Amount</th>
<th>Anticipated Expense</th>
<th>Method Used to Encumber</th>
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<tr>
<td></td>
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</tr>
</tbody>
</table>

Wastewater Fees:

** Attach current and proposed rate ordinances or resolutions and rate schedules.

Municipal or Sanitary District - monthly rates at 5,000 gallons (670 cubic feet)
Other Community System - monthly rates at 7,000 gallons (935 cubic feet)

Check one:  
- Incorporated Municipality or Sanitary District
- Other Community System

<table>
<thead>
<tr>
<th>Monthly</th>
<th>Current Rate</th>
<th>Proposed Rate</th>
<th># of Accounts</th>
<th>Average use Gallons/Cubic Feet</th>
</tr>
</thead>
<tbody>
<tr>
<td>Domestic</td>
<td>$36.55</td>
<td></td>
<td>17,990</td>
<td>5000 gallons</td>
</tr>
<tr>
<td>Business</td>
<td>$36.97</td>
<td></td>
<td>92</td>
<td>7000 gallons</td>
</tr>
<tr>
<td>Other: Multi-Family</td>
<td>$36.55</td>
<td></td>
<td>984</td>
<td>15000 gallons</td>
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<tr>
<td>Other: Bulk Customer</td>
<td>$36.97</td>
<td></td>
<td>3</td>
<td>600 CCF</td>
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</tbody>
</table>

Are fees based on usage or flat rate?  Based on Usage and Demand Charge by Meter Size

When is proposed fee scheduled to take effect?  

When did the current fee take effect?  1/01/2022

What was the fee prior to the current rate?  $34.28

Storm Sewer Projects Only: Does applicant have a separate storm water fee? _______

If yes, attach the current and proposed rate ordinances or resolutions and rate schedules.

<table>
<thead>
<tr>
<th>Two Largest Customers</th>
<th>Type of Business</th>
<th>% of System Revenue</th>
</tr>
</thead>
<tbody>
<tr>
<td>Rapid City Regional Hospital</td>
<td>Healthcare</td>
<td>1.1%</td>
</tr>
<tr>
<td>Pennington County Jail</td>
<td>Correctional Facilities</td>
<td>1%</td>
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</tbody>
</table>
**Property Tax Information**
*(Complete section only if General Obligation bond is pledged to repay your loan.)*

**Three year valuation trend:**

<table>
<thead>
<tr>
<th>Year</th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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<td></td>
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<tr>
<td>Assessed Valuation</td>
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</table>

**Three year levies and collection trend:**

<table>
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<th>Year</th>
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<th></th>
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</thead>
<tbody>
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<td>Amount Levied</td>
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<td>Collected</td>
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**Five Largest Taxpayers**

<table>
<thead>
<tr>
<th>Five Largest Taxpayers</th>
<th>Description</th>
<th>Assessed Valuation</th>
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Comments:
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<tr>
<th>Year</th>
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<tbody>
<tr>
<td>Purpose</td>
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<tr>
<td>Security Pledged</td>
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<td>Amount</td>
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<td>Maturity Date (mmm/yyyy)</td>
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<tr>
<td>Debt Holder</td>
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<tr>
<td>Debt Coverage Requirement</td>
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<tr>
<td>Avg. Annual Required Payment</td>
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<tr>
<td>Outstanding Balance</td>
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</table>

Comments:
Sales Tax Information
(Complete section only if sales tax is pledged to repay your loan.)

Sales tax revenue history for the most current fifteen months:

<table>
<thead>
<tr>
<th>Month/Year</th>
<th>Amount Collected</th>
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<tbody>
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Comments:
Sales Tax Debt Information

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<tbody>
<tr>
<td><strong>Year</strong></td>
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<tr>
<td><strong>Purpose</strong></td>
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<td><strong>Security Pledged</strong></td>
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<td><strong>Amount</strong></td>
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<td><strong>Maturity Date</strong></td>
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<td>(mmm/yyyy)</td>
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<td><strong>Debt Holder</strong></td>
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<td><strong>Debt Coverage</strong></td>
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<tr>
<td>Requirement</td>
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<tr>
<td><strong>Avg. Annual</strong></td>
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<td>Required</td>
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<tr>
<td><strong>Payment</strong></td>
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<tr>
<td><strong>Outstanding</strong></td>
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<tr>
<td>Balance</td>
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</tbody>
</table>

Comments:
Facilities Plan Checklist

Before submitting the application, please take a few moments to complete the following checklist. Addressing these items prior to submitting the application will expedite the review process.

Clean Water Facilities Plan document can be found at http://denr.sd.gov/dfta/wwf/cwsrf/sanstsewerfunding.aspx

Checklist of SRF Facilities Plan Requirements

Have the following items been addressed?

- Submission of a Facilities Plan to the department that addresses those items found in the Wastewater Facilities Plan document.
- A public hearing held discussing the project and the use of an SRF loan to finance the project.
- Minutes of the public hearing prepared and submitted to the department’s engineer for inclusion into the final Facilities Plan.
- The affidavit of publication of the public hearing received and submitted to the department’s engineer for inclusion into the final Facilities Plan.
- The four review agencies contacted and responses received for inclusion into the final Facilities Plan.
- The Cultural Resources Effects Assessment Summary and supporting documentation, such as an archaeological survey or Historic Register database search.
Cost and Effectiveness Certification Form

This is to certify compliance with Subtitle A, Section 5002, Subsection 602(b)(13) of the Water Resources Reform Development Act of 2014 in that project has been studied and evaluated for the cost and effectiveness of the processes, materials, techniques, and technologies for carrying out the proposed project or activity for which assistance is sought; and to the maximum extent practicable, the project or activity selected maximizes the potential for efficient water use, reuse, recapture, and conservation, and energy conservation, taking into account (i) the cost of constructing the project; (ii) the cost of operating and maintaining the project over the life of the project; and (iii) the cost of replacing the project.

Applicant Name:  City of Rapid City

Project Name:  Miscellaneous W/WW Facility Type and Fencing Improvements Projec

We certify that the proposed project is in compliance as described above.

Applicant's Authorized Signatory

Signature:  
Printed Name: Steve Allender
Title: Mayor
Date: 

Project Engineer

Signature:  
Printed Name: Kevin Newman
License #: 6247
Date: March 08, 2022
### Certification of Point Source Needs Categories

Identify the loan amount associated with the needs categories described below. If the loan addresses needs in more than one category, please break down the total amount into estimated amounts for each category.

<table>
<thead>
<tr>
<th>Category</th>
<th>Definition</th>
<th>Loan Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>I</td>
<td><strong>Secondary Treatment and Best Practicable Wastewater Treatment Technology.</strong> Costs for facilities to achieve secondary levels of treatment, regardless of the actual treatment levels required at the facility site. Incremental costs for treatment levels above secondary are to be reported in Category II. For purposes of the Survey, &quot;best practicable wastewater treatment technology&quot; and secondary treatment are considered synonymous. Identified alternative conveyance systems (e.g., small diameter gravity, pressure and vacuum sewers) are to be included in Category I.</td>
<td>$5,300,000</td>
</tr>
<tr>
<td>II</td>
<td><strong>Advanced Treatment.</strong> Incremental costs above secondary treatment for facilities which require advanced levels of treatment. This requirement generally exists where water quality standards require removal of such pollutants as phosphorus, ammonia, nitrates, or organic and other substances. In addition, this requirement exists where removal requirements for conventional pollutants exceed 85 percent.</td>
<td>$0</td>
</tr>
<tr>
<td>III A</td>
<td><strong>Infiltration/Inflow Correction.</strong> Costs for correction of sewer system infiltration/inflow (I/I) problems. Costs should also be reported for the preparation of preliminary I/I analysis or for a detailed sewer system evaluation survey.</td>
<td>$0</td>
</tr>
<tr>
<td>III B</td>
<td><strong>Major Sewer System Rehabilitation.</strong> Replacement and/or major rehabilitation of existing sewer systems. Costs are reported if the corrective actions are necessary to the total integrity of the system. Major rehabilitation is considered to be extensive repair of existing sewer beyond the scope of normal maintenance programs (i.e., where sewers are collapsing or structurally unsound).</td>
<td>$0</td>
</tr>
<tr>
<td>Category</td>
<td>Definition</td>
<td>Loan Amount</td>
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<tr>
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</tr>
<tr>
<td>IV A</td>
<td>New Collectors and Appurtenances. Costs of construction of new collector sewer systems and appurtenances designed to correct violations caused by raw discharges or seepage to waters from septic tanks, or to comply with Federal, State, or local actions.</td>
<td>$0</td>
</tr>
<tr>
<td>IV B</td>
<td>New Interceptors and Appurtenances. Costs for new interceptor sewers and pumping stations necessary for the bulk transmission of clean water.</td>
<td>$0</td>
</tr>
<tr>
<td>V</td>
<td>Correction of Combined Sewer Overflows. Costs for facilities, including conveyance, storage, and treatment, necessary to prevent and/or control periodic bypassing of untreated wastes from combined sewers to achieve water quality objectives and which are eligible for Federal funding. It does not include treatment and/or control of storm waters in separate storm and drainage systems.</td>
<td>$0</td>
</tr>
<tr>
<td>VI A</td>
<td>Stormwater - Gray Infrastructure. Costs for the planning, design, and construction of conveying stormwater via pipes, inlets, road side ditches, and other similar mechanisms. Including the treating stormwater with wet ponds, dry ponds, manufactured devices, and other similar means.</td>
<td>$0</td>
</tr>
<tr>
<td>VI B</td>
<td>Stormwater - Green Infrastructure. Costs for the planning, design, and construction of low impact development and green infrastructure.</td>
<td></td>
</tr>
<tr>
<td>VII</td>
<td>Nonpoint Source. Total costs for Nonpoint Source projects. See individual categories on pages 18 and 19.</td>
<td>$0</td>
</tr>
<tr>
<td>VIII</td>
<td>Energy Conservation - Efficiency. Costs for the use of improved technologies and practices that result in reduced energy consumption of water quality projects.</td>
<td>$0</td>
</tr>
<tr>
<td>Category</td>
<td>Definition</td>
<td>Loan Amount</td>
</tr>
<tr>
<td>----------</td>
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</tr>
<tr>
<td>IX</td>
<td><strong>Energy Conservation - Renewable.</strong> Costs for the production of renewable energy such as wind, solar, methane capture and energy conversion equipment, co-digestion, combined heat and power (CHP) systems, hydroelectric systems that harness wastewater flows to, from, or within treatments.</td>
<td>$0</td>
</tr>
<tr>
<td>X</td>
<td><strong>Water Conservation - Efficiency.</strong> Costs that reduce the demand for POTW capacity through reduced water consumption including water meters, plumbing fixture retrofits or replacement, water efficient appliances, water efficient irrigation equipment, and education programs.</td>
<td>$0</td>
</tr>
<tr>
<td>XI</td>
<td><strong>Water Conservation - Reuse.</strong> Costs for the treatment and conveyance of treated wastewater that is being reused (recycled water), including rehabilitation/replacement needs.</td>
<td>$0</td>
</tr>
</tbody>
</table>

**TOTAL:** $5,300,000

---

Steve Allender, Mayor

Name & Title of Authorized Representative

Signature of Authorized Representative

Date
# Certification of Nonpoint Source Needs Categories

Identify the loan amount associated with the needs categories described below. If the loan addresses needs in more than one category, please break down the total amount into estimated amounts for each category.

<table>
<thead>
<tr>
<th>Category</th>
<th>Definition</th>
<th>Loan Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>VIII A</td>
<td><strong>NPS pollution - agricultural activities.</strong> Plowing, pesticide spraying, irrigation, fertilizing, planting, and harvesting. Example BMPs include conservation tillage, nutrient management, and irrigation water management.</td>
<td>$0</td>
</tr>
<tr>
<td>VIII B</td>
<td><strong>NPS pollution - animal production.</strong> Confined animal facilities and grazing. Example BMPs include animal waste storage, animal waste nutrient management, composting, and planned grazing.</td>
<td>$0</td>
</tr>
<tr>
<td>VIII C</td>
<td><strong>NPS pollution - forestry.</strong> Removal of streamside vegetation, road construction and use, timber harvesting, and mechanical preparation for the planting of trees. Example BMPs include pre-harvest planting, streamside buffers, road management, and revegetation of disturbed areas.</td>
<td>$0</td>
</tr>
<tr>
<td>VIII D</td>
<td><strong>NPS pollution - land conservation.</strong> Costs associated with land acquisition to protect water quality.</td>
<td>$0</td>
</tr>
<tr>
<td>VIII E</td>
<td><strong>NPS pollution - groundwater pollution (unknown source).</strong> Activities related to ground water protection such as wellhead and recharge area protection activities. Any activity that can be attributed to a specific cause of ground water pollution, such as leaking storage tanks, soil contamination in a brownfield, or leachate from a sanitary landfill, should be reported to that more specific category.</td>
<td>$0</td>
</tr>
<tr>
<td>VIII F</td>
<td><strong>NPS pollution - boating and marinas.</strong> Poorly flushed waterways, boat maintenance activities, discharge of sewage from boats, and physical alteration of shoreline, wetlands, and aquatic habitat during operation or construction of a marina. Example BMPs include pump out systems and oil containment booms.</td>
<td>$0</td>
</tr>
<tr>
<td>Category</td>
<td>Definition</td>
<td>Loan Amount</td>
</tr>
<tr>
<td>----------</td>
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<td>-------------</td>
</tr>
<tr>
<td>VIII G</td>
<td><strong>NPS pollution - mining and quarrying activities.</strong> Example BMPs detention berms and seeding or revegetation.</td>
<td></td>
</tr>
<tr>
<td>VIII H</td>
<td><strong>NPS pollution - abandoned, idle, and under used industrial sites.</strong> All pollution control activities at these sites regardless of activity. Example BMPs include ground water monitoring wells, in situ treatment of contaminated soils and ground water, capping to prevent storm water infiltration, and storage tank activities at brownfields.</td>
<td>$0</td>
</tr>
<tr>
<td>VIII I</td>
<td><strong>NPS pollution - tanks designed to hold chemicals, gasoline, or petroleum products.</strong> Tanks may be located either above or below ground. Example BMPs include spill containment, in situ treatment of contaminated soils and ground water, and upgrade, rehabilitation, or removal of petroleum/chemical storage tanks.</td>
<td>$0</td>
</tr>
<tr>
<td>VIII J</td>
<td><strong>NPS pollution - sanitary landfills.</strong> Example BMPs include leachate collection or on-site treatment, gas collections and control, and capping and closure.</td>
<td>$0</td>
</tr>
<tr>
<td>VIII K</td>
<td><strong>NPS pollution - channel modification, dams, streambank and shoreline erosion, and wetland or riparian area protection or restoration.</strong> Example BMPs include conservation easements, swales or filter strips, shore erosion control, wetland development and restoration, and bank and channel stabilization.</td>
<td>$0</td>
</tr>
<tr>
<td>VIII L</td>
<td><strong>NPS pollution - rehabilitation or replacement of individual or community sewerage disposal system.</strong> Construction of collector sewers to transport wastes to a cluster septic tank or other decentralized facilities. Collection sewers and expansion of existing or construction of new centralized treatment facilities that replace individual or community sewerage disposal system are included on Point Source Category table.</td>
<td></td>
</tr>
</tbody>
</table>

**TOTAL:**  

$0

__________________________  
Steve Allender, Mayor

Name & Title of Authorized Representative

__________________________  
Signature of Authorized Representative
Certification Regarding Debarment, Suspension, and Other Responsibility Matters

The prospective participant certifies to the best of its knowledge and belief that it and its principals:

(a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any federal department or agency;

(b) Have not within a three year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of federal or state antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

(c) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (b) of this certification; and

(d) Have not within a three year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

I understand that a false statement on this certification may be grounds for rejection of this proposal or termination of the award. In addition, under 18 U.S.C. § 1001, a false statement may result in a fine of up to $10,000 or imprisonment for up to 5 years, or both.

______________________________
Steve Allender, Mayor

Name & Title of Authorized Representative

______________________________                _________________
Signature of Authorized Representative        Date

☐ I am unable to certify to the above statements. Attached is my explanation